

**Merit criteria for assessing the application for co-financing
under the Operational Programme of the EEA FM and NMF
2014-2021 Environment, Energy, Climate Change
"Improving energy efficiency in buildings, industry and
municipalities"**

Substantive criteria PA12 – thermomodernization

1ST DEGREE SUBSTANTIVE CRITERIA

Eligibility criterion – To be assessed by the National Fund for Environmental Protection and Water Management

No.	Criterion	Criterion description	Assessment rules: Yes/No	Comment
1.	2.	3.	4.	5.
	Project eligibility	It will be assessed whether the type of project fits in the catalogue indicated on the open call announcement. Basis for assessment: application form with attachments	Yes/No	

State aid appraisal – To be filled in by the technical unit responsible for the assessment in the field of state aid

No.	Criterion name	Criterion description	Assessment rules: Yes/No	Comments
1.	2.	3.	4.	5.
	The financing is in line with the state aid rules	<ul style="list-style-type: none"> • appraisal if the co-financing constitutes state aid; • if the grant constitutes state aid, appraisal if the aid complies with the provisions/regulations governing the state aid 	Yes/No	

Financial evaluation - To be completed by the technical unit responsible for the financial evaluation of the project

No.	Criterion name	Criterion description	Assessment rules: Yes/No	Comments
1.	2.	3.	4.	5.
	<p>Applicant's financial standing/situation –current and forecasted - including feasibility and financial durability analysis</p>	<p>As part of the criterion, the following will be assessed, in particular:</p> <ol style="list-style-type: none"> 1) the applicant's ability to ensure the feasibility and financial sustainability of the project on the basis of analysis of the applicant's financial reports and projections; 2) the correctness of the assumptions used for financial analysis; 3) confirmed, reliable sources of project co-financing (if applicable); 4) possibility of establishing a financial security (if applicable). <p>The criterion will be assessed using the provisions of the Instruction: "Securing the NFEPWM's claims arising from contracts for project co-financing", regarding:</p> <ul style="list-style-type: none"> - rules for setting collaterals for any claims under loans/subsidies arising from concluded contracts and contracts in the process of conclusion for co-financing projects from the NFEPWM, including projects co-financed from non-returnable foreign funds, and - defining the general principles for carrying out financial evaluations of grant applications. <p>Basis for assessment: application form with attachments.</p>	<p>Yes/No/Non-applicable</p>	

Equality criterion – To be assessed by the National Fund for Environmental Protection and Water Management

No	Criterion	Criterion description	Assessment rules: Yes/No/Not applicable	Comment
1.	2.	3.	4.	5.
	The project is in line with equal opportunities and non-discrimination rules	As part of the criterion, it will be assessed whether the project has a positive (or at least neutral) influence on in particular: <ul style="list-style-type: none"> • Accessibility for people with disabilities. • Gender equality 	Yes/No/Not applicable	

2ND DEGREE SUBSTANTIVE CRITERIA

Mandatory evaluation criteria to fill in: costs eligibility (10)

No.	Criterion name	Criterion evaluation principles	Weight	Max scoring	Max result
1.	Project Implementation Readiness	Number of points awarded for the subsequent stages of project preparation (max. 5 points): 1 point - the project has a valid environmental decision covering the entire investment 1 point - the project has a construction design (may be unapproved) for 100% of the material scope of the project 1 point - the project has received a construction permit 1 point - the applicant has secured funds to finance the own contribution 1 point - the applicant has a documented right to dispose of the land or objects for the investment Note: If a given readiness stage does not apply to given project, it receives 1 point for given stage.	2	5	10
2.	Expenditures from the EEA FM grant for unit reduction of CO2 emissions	For achieving the value of the indicator X (calculated as the quotient of the grant amount from the EEA FM for the project (PLN) for the annual potential reduction of 1 ton of CO2), the following points will be awarded within individual ranges: 5 points - $X \leq 6500$; 4 points – $6500 < X \leq 10000$ 3 points – $10000 < X \leq 15000$;	5	5	25

No.	Criterion name	Criterion evaluation principles	Weight	Max scoring	Max result
		2 points – $15000 < X \leq 20000$; 1 point - $X > 20000$			
3.	Expenditures from the EEA FM grant for energy savings.	For achieving the value of the indicator X (calculated as the quotient of the grant amount from the EEA FM for the project (PLN) for the annual final energy savings (GJ / year)), the following points will be awarded within individual ranges: 5 points - $X \leq 1500$; 4 points - $1500 < X \leq 2500$; 3 points - $2500 < X \leq 4000$; 2 points - $4000 < X \leq 6000$; 1 point - $X > 6000$	3	5	15
4.	Raising the energy standard of the building towards almost zero energy (N-ZEB), expressed as the EPh + w indicator	The level of demand for non-renewable primary energy in the target state of primary energy savings for heating, ventilation and hot water preparation specified in the energy audit. Number of points awarded for achieving the EPh + w indicator in the amount of: 6 points – if $E_{Ph} + w \leq 30 \text{ kWh} / (\text{m}^2 \times \text{year})$ 5 points - if $30 \text{ kWh} / (\text{m}^2 \times \text{year}) < E_{Ph} + w \leq 45 \text{ kWh} / (\text{m}^2 \times \text{year})$ 4 points - if $45 \text{ kWh} / (\text{m}^2 \times \text{year}) < E_{Ph} + w \leq 65 \text{ kWh} / (\text{m}^2 \times \text{year})$ 3 points - if $65 \text{ kWh} / (\text{m}^2 \times \text{year}) < E_{Ph} + w \leq 85 \text{ kWh} / (\text{m}^2 \times \text{year})$ 2 points - if $85 \text{ kWh} / (\text{m}^2 \times \text{year}) < E_{Ph} + w \leq 105 \text{ kWh} / (\text{m}^2 \times \text{year})$ 0 points - if $E_{Ph} + w > 105 \text{ kWh} / (\text{m}^2 \times \text{year})$	4	6	24
5.	Additional primary energy savings achieved by replacing lighting resulting from an energy audit with energy-saving lighting.	Points awarded for reducing the Δ EPL ratio calculated in accordance with the current regulation on the energy performance of buildings. 2 points - if due to additional work the Δ EPL ratio is further reduced by minimum of $5 \text{ kWh} / (\text{m}^2 \times \text{year})$ 1 point - if due to additional work the Δ EPL ratio is further reduced by minimum of $2 \text{ kWh} / (\text{m}^2 \times \text{year})$ but less than $5 \text{ kWh} / (\text{m}^2 \times \text{year})$ 0 points - if due to additional work the Δ EPL ratio is further reduced by less than $2 \text{ kWh} / (\text{m}^2 \times \text{year})$	2	2	4
6.	Production of energy from renewable sources	The criterion is considered to be met if renewable energy is the only type of primary fuel or supports a conventional energy source. 2 points - as part of the project, photovoltaic panels will be installed 1 point - for other installations using energy from renewable sources 0 points – for projects which do not apply any renewable energy solutions	1	2	2
7.	CO ₂ reduction as a result of the investment	The amount of CO ₂ emission reduction achieved as a result of activities indicated in the audit, with the proviso that in case of fuel change, the resulting CO ₂ emission reduction is at least 30%. For achieving the value of the indicator X (obtained CO ₂ reduction as a result of the project (%)), the following points will be awarded within individual ranges:	4	5	20

No.	Criterion name	Criterion evaluation principles	Weight	Max scoring	Max result
		5 points - $X > 50$; 4 points - $45 < X \leq 50$; 3 points - $40 < X \leq 45$; 2 points - $30 < X \leq 40$; 1 point - $X \leq 30$.			
8.	Increasing public awareness of energy efficiency	5 points – in addition to basic informational activities the scope of the project implements educational efforts, e.g. via the introduction of special ecological workshops in schools ¹ 3 points - the scope of the project provides for basic informational campaign 0 points - the scope of the project does not provide for any informational campaign.	1	5	5
9.	Project's location	1 point - for installations located in the city with the highest level of air pollution (according to the ranking of the 50 most polluted cities in Europe published by WHO). 0 points – for installations located in other areas	1	1	1
10.	Costs eligibility	1 point - all costs planned to be incurred are necessary to project implementation and its effect achievement and comply with eligible costs catalogue 0 points - not all expenses planned to be incurred are necessary to implement the project and its effect achievement and comply with eligible costs catalogue. Obtaining 0 points in this criterion causes the application to be rejected.	1	1	1
11.	Participation of partners from Norway, Iceland or Liechtenstein	3 points – The project is implemented in partnership with a partner from Donor States: Norway, Iceland or Liechtenstein (letter of intent or partnership agreement) 1 point – The project is implemented in cooperation with a partner from Donor States: Norway, Iceland or Liechtenstein (other documented partner participation/cooperation) – 0 points – The project is not implemented in partnership/cooperation with a partner from Donor States: Norway, Iceland or Liechtenstein	1	3	3

¹ Additional examples of educational and informational activities can be found in the “Guide for Applicants”